Substitute for PTO/SB/21 (09-04)"Transmittal Form"
Approved for use through 07/31/2006. OMB 0651-0031
rademark Office; U.S. DEPARTMENT OF COMMERCE U. S. Patent and Trade Application Number 10/559,855 TRANSMITTAL Filing Date December 7, 2005 **FORM** First Named Inventor Paul J. Coleman To be determined Art Unit all correspondence after initial filing) Examiner Name To be determined 21358P Total Number of Pages in This Submission Attorney Docket Number ENCLOSURES (Check all that apply) After Allowance Communication Drawing(s) Fee Transmittal Form to Technology Center (TC) Licensing-related Papers Appeal Communication to Board Fee Attached Petition of Appeals and Interferences Amendment/Reply Appeal Communication to TC Petition to Convert to a Provisional Application After Final (Appeal Notice, Brief, Reply Brief) Power of Attorney, Revocation Affidavits/declaration(s) Proprietary Information Change of Correspondence Address Extension of Time Request Status Letter Terminal Disclaimer Express Abandonment Request Other Enclosure(s) (please Identify below): Request for Refund ▼ Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Explanation of Relevance of Non-English Documents Under 37 C.F.R. Response to Missing Parts/ 1.98(a)(3)(i) Incomplete Application - 4 references enclosed Response to Missing Parts under 37 CFR 1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Name	David A. Muthard	Registration No. (Attorney/A	gent)	35,297		
Signature	J ZIAZI		Date	3/27/2006		

CERTIFICATE OF TRANSMISSION/MAILING								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this date: 3/27/2006								
Typed or printed name	Typed or printed David A. Muthard							
Signature	- JARI	Date	3/27/2006					

OIPE 425

PATENT Case No. 21358P

## NATHE LINETED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Paul J. Coleman, et al.

Serial No. 10

10/559.855

Filed: December 7, 2005

For: MITOTIC KINESIN INHIBITORS

Art Unit: To be determined

Examiner: To be determined

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Sir:

- 1. In compliance with 37 C.F.R. 1.97, submitted on the attached form herewith is a list of patents, publications or other information which are requested to be made of record in this application. This Information Disclosure Statement is not an admission that any patent, publication or other information referred to herein is "prior art" for this invention. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).
- In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.
- Applicants respectfully request that the Examiner initial the attached form after reviewing the pertinence of each reference
- Pursuant to 37 C.F.R. 1.98 (a)(2)(ii), copies of each cited U.S. patent and each U.S. patent application
  publication are not enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date appearing below.

MERCK & CO., INC.

3/27/06

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /Z.T

RELATED APPLICATION

## INFORMATION DISCLOSURE STATEMENT

5. Pursuant to 37 C.F.R. 1.98(d), copies of references listed on the attached form that were submitted to or cited

by the Office in a related application upon which the instant application relies for an earlier filing date under 35 U.S.C. 120

are not enclosed. Related application(s) in which references were submitted to or cited by the Office are as follows:

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U. S. SERIAL NUMBER	FILING DATE	MERCK CASE
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If this is inconvenient, additional copies will be submitted upon reque	St.	
6. In accordance with 37 C.F.R. 1.97, (check one)		
the attached information is filed within three months of the filing d	ate of the captioned case.	
the attached information is filed more than three months after the Office Action on the merits.	filing date but prior to the	mailing of a first
the attached information is filed before the mailing of a first Office examination under §1.114.	action after the filing of a	request for continued
the attached information is being filed more than three months af Office Action on the merits, but before the mailing date of a Final otherwise closes prosecution in the application. The enclosed au Account No. 13-2755 for the fee required under 37 C.F.R. 1.17(p	Action, Notice of Allowanc athorization is therefore giv	e, or an action that
each item of information contained in this Information Disclosure from a foreign patent office in a counterpart foreign application no Statement.		
each item of information contained in the information disclosure of from a foreign patent office in a counterpart application and this of designated in §1.56(c) more than thirty days prior to the filing of the fi	communication was not red	eived by any individu
no item of information contained in this Information Disclosure St foreign patent office in a counterpart foreign application, and, to t after making reasonable inquiry, was known to any individual des months prior to the filing of this Statement.	he knowledge of the perso	n signing the certifica

Respectfully submitted,

By: David A. Muthard

Attorney For Applicant(s)

Reg. No. 35,297

MERCK & CO., INC.

Patent Dept., RY60-30 P.O. Box 2000 Rahway, N.J. 07065-0907 (732)594-3903

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J 11-12	SUBSTITUTE fo	or PTO/SB/08A (07-05), Information Disclosure Statement by Applicant Patent and Trademark Office; U.S DEPARTMENT OF COMMERCE
Substitute for form 1449A/PTO		COMPLETE IF KNOWN
INFORMATION DISCLOSURE	Application Number	10/559,855
O STATEMENT BY APPLICANT	Filing Date	December 7, 2005
~ /	First Named Inventor	Paul J. Coleman
MP8 5 8 5000 R	Group Art Unit	To be determined
(use a stifany sheets as necessary)	Examiner Name	To be determined
Sheet 1 of 2	Attorney Docket Number	21358P

U.S. PATENT DOCUMENTS							
Examiner	Cite	U.S. Patent Document	Vind	Name of Patentec or Applicant	Date of Publication of		
Initials*	No.	Number	Kind Code (if known)	Name of Patentec or Applicant of Cited Document	Cited Document MM-DD-YYYY		
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		Foreign Patent Docume	ent		Date of Publication of	
Cite No.		Office	Number	Kind Code (if known)	of Cited Document	Cited Document MM-DD-YYYY
	PCT	WO 03/105855		Merck & Co., Inc.	12/24/2003	
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		No. Office	Cite	No. Office Number Code (if known)	Cite No. Office Number Kind Code  ((Kapan))  Office Number (Kind Code (Kapan))	

Examiner Signature	/Zachary Tucker/	Date Considered	01/29/2008	
raminer: Initial if seferan	as assistant, whether or not citation is in conformance with M	PER 600. Donut line through citation if not in	conformance and not remaided. I	

Approved for use through 7/31/2006. OMB 0651-0031 SUBSTITUTE for PTO/SB/08A (07-05), Information Disclosure Statement by Applicant

7 1102				Patent and Trademark Office; U.S DEPARTMENT OF COMMERCE		
sheritute for form 1449B/PTO			COMPLETE IF KNOWN			
INFORMATION DISCLOSURE		Application Number	10/559,855			
STATEMENT BY APPLICANT			Filing Date	December 7, 2005		
			First Named Inventor	Paul J. Coleman		
			Group Art Unit	To be determined		
(use as many sheets as necessary)			Examiner Name	To be determined		
2	of	2	Attorney Docket Number	21358P		
	TATEMENT BY	NFORMATION DIS TATEMENT BY AP (use as many sheets as n	NFORMATION DISCLOSURE  TATEMENT BY APPLICANT  (use as many sheets as necessary)	Application Number NFORMATION DISCLOSURE TATEMENT BY APPLICANT  (use as many sheets as necessary)  Application Number Filing Date First Named Inventor Group Art Unit Examiner Name		

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		NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No.	Include name of the author, title, date, page(s), volume-issue number(s) and place of publication.
		Abbas, et al., Bulletin de la Societe Chimique de France, Vol. 2, pp. 288-296 (1986)
		Chakraborti, et al., Bioorganic & Medicinal Chemistry, Vol. 11, pp. 3989-3996 (2003)
		Dannhardt, et al., Archic der Pharmazie, Vol. 318, pp. 661-663 (1985)

Examiner Signature	/Zachary Tucker/	Date Considered	01/29/2008

PATENT



Applicants:

Paul J. Coleman, et al.

Serial No.:

10/559.855 Case No.: 21358P

December 7, 2005

Filed: For:

MITOTIC KINESIN INHIBITORS

Art Unit: To be determined

Examiner To be determined

Commissioner of Patents

P.O. Box 1450 Alexandria, Virginia 22313-1450

## EXPLANATION OF RELEVANCE OF NON-ENGLISH DOCUMENTS UNDER 37 C.F.R. 1.98(a)(3)(i)

Sir:

Applicants respectfully note that two (2) of the documents listed on the USPTO 1449 form submitted herewith are not in the English language and a copy of English translations of those documents are not within the possession, custody or control of Applicants, and, to the Applicants knowledge, are not readily available. Below is a concise explanation of the relevance of those non-English documents:

The French language publication in Bull. Soc. Chim. Fr. discloses 1,3-diphenyl 1,2dehydropyrrolizidines and 1,3-diphenyl 1,2 -dehydroindolizidine compounds. No specific therapeutic use is described for the compounds disclosed in the publication, although it is noted that the fused ring systems are the core structures in a number of alkaloid compounds.

The German language scientific publication in Arch. Pharm. discloses the synthesis of 1,2-diphenyl-3-oxo-1,2-dehydropyrrolizidines compounds. The compounds are synthesized by sodium periodate oxidation of 6.7-diphenyl-2.3-dihydro-1H-pyrrolizine, which is described as an anti-inflammatory compound.

The instant invention discloses certain 1,2 -dehydroindolizidine compounds which optionally incorporate one or more additional ring heteroatoms. The instantly claimed compounds are inhibitors of the mitotic kinesin KSP and are useful in the treatment of cancer.

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VIRGINIA 22313-1450, ON THE DATE APPEARING BELOW.

MERCK & CO., INC

DATE: 5/27/0

Respectfully submitted,

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Date: March 27, 2006